Case IQ

WWW.CASEIQ.COM

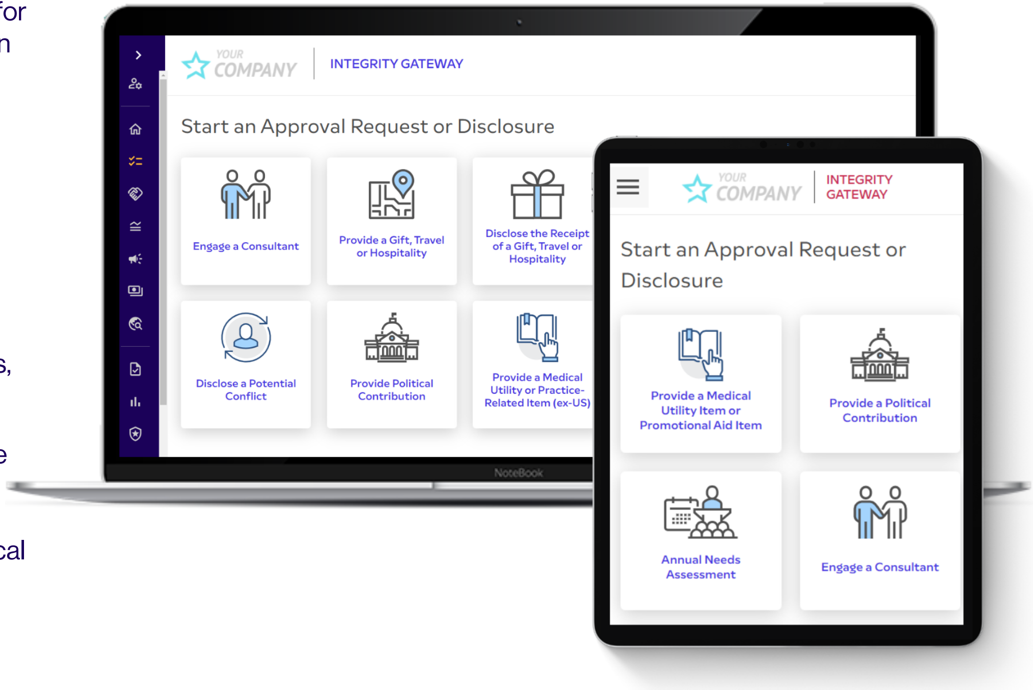
Product Overview

Advanced Compliance and Case Management Solutions for the Life Sciences Industry

Case IQ for Life Sciences

With a set of tools developed specifically for life sciences companies, Case IQ offers an end-to-end compliance and case management solution, integrating HCP engagements, grants, donations and sponsorships, conflicts of interest, third-party management, compliance monitoring, whistleblower solutions, and investigative case management. This all-in-one platform helps organizations proactively prevent and detect risks associated with HCPs, HCOs, distributors, other third parties, and employees.

Case IQ's advanced compliance and case management platform includes purpose-built functionality designed to meet the needs of pharma, biotech, medical device, and diagnostics companies.



Developed by In-House Experts

Developed by former in-house compliance and audit professionals from world-leading life sciences companies, with prior experience from Big Four accounting firms and global law firms.

Lifecycle Risk Management

Manage the entire spend and counterparty lifecycle—from needs assessment and approvals to third-party due diligence and transaction monitoring. Oversee employee expenses, vendor payments, aggregate spend data, customer revenue, and distributor transactions while maintaining compliance through robust oversight, including whistleblower hotline management and case tracking. With Case IQ's suite, mitigate risk at every stage, from prevention to detection, investigation, and resolution.

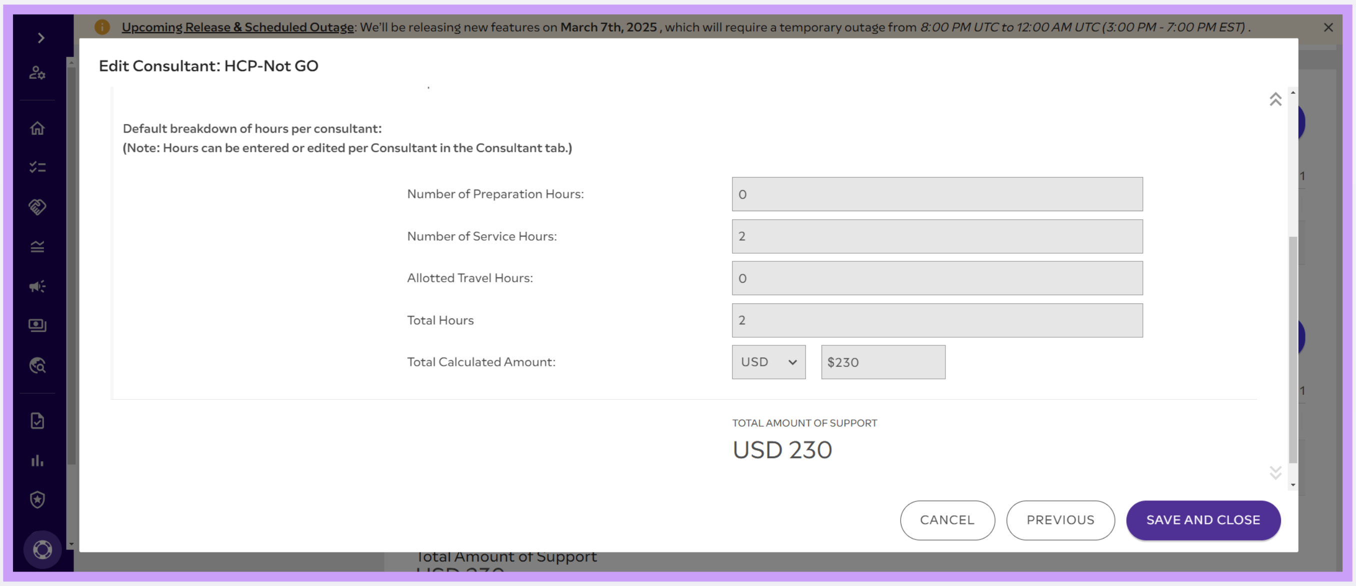
World-Class User Experience

Best-in-class user experience for your commercial and medical colleagues and data analytics for your risk and compliance professionals. Our software helps prevent and detect risks across your organization and investigate and remediate wrongdoing.

For life sciences companies, Case IQ offers:

HCP & Consultant Compliance Oversight

- **Needs Assessment Process:** Track engagements with HCP/HCOs from initial selection, needs assessment, profiling, and contracting through to proof of performance. Integrate with HCP master data from Veeva and IQVIA/Reltio.
- **Profiling and FMV:** Provide company users with the ability to profile HCPs and automate the FMV calculation and exception process, without needing to consult separate rate card tools or spreadsheets.
- **Cap Management:** Track aggregate spend on HCP/HCOs and flag potential risks, providing an early warning to ensure spending doesn't exceed pre-defined risk threshold.
- **Sanctions & Debarment Screening:** Automatically screen HCPs/Consultants to determine if any external limitations or risk factors exist around engaging them, saving time and reducing risk.



Grants, Sponsorships & Donations

Case IQ helps life sciences companies reduce the regulatory and reputational risks involved with providing external funding in the form of grants, sponsorships, and donations. It provides a centralized record of both the rationale and approvals for such activity, a vital requirement for compliance risk detection and mitigation. As with our engagements workflow, leverage aggregated spend analyses and sanctions and debarment screening to better manage risk.

- **Conflicts of Interest:** Case IQ helps life sciences companies manage their end-to-end internal conflict of interest (COI) management program, including annual COI campaign management.
- **Other Approvals & Disclosures:** Case IQ can support multiple compliance workflows, from gifts and hospitality, practice-related items, meeting support, and annual needs assessments, all in one tool for your business and enabling functions to learn and use.

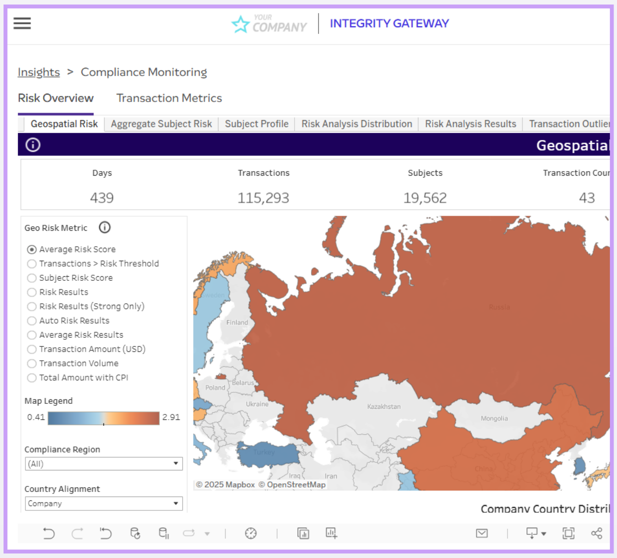
Third Party Management

Our team of veteran in-house compliance and audit leaders applied years of in-house learning to create Case IQ's automated end-to-end third-party risk management program across risks and across the life cycle of third-party engagements in one integrated solution.

- Business Partner Relationship Management
- Configurable Third-Party Risk Assessment with Deployment of Due Diligence Questionnaires
- Continuous Sanctions and Media Screening
- Outsourced Investigative Due Diligence
- Robust Approval Workflows
- Advanced Reporting & Analytics

Compliance Monitoring

Connecting data from existing enterprise systems such as Concur, SAP, and Oracle, and using the power of algorithmic analysis and AI / machine learning, our compliance monitoring software enables early detection of high-risk transactions with HCP/HCOs or other third parties, such as vendors and distributors, as well as employees, such as field sales reps.



Our monitoring platform also ingests all historical CMS Sunshine Act Open Payments data across all companies to provide analytics across the industry on disclosed payments.

Fully automate live and desktop monitoring, along with auditing checklists, while efficiently managing and tracking all corrective action plans.

Whistleblower Hotline

Use our industry-leading hotline or integrate your existing mechanisms to catch reports of fraud, ethics and compliance violations, data breaches, or safety issues quickly.

With our 24/7, multilingual hotline service, employees and members of the public can securely raise concerns when and how they feel most comfortable, so you can reduce risk for your company, employees, and consumers.

Incident Management Software

Our life sciences solutions can be easily configured to match your company's processes for investigating fraud, consumer and staff complaints, health and safety incidents, security incidents, and information security breaches.

Once you start using Case IQ, your organization's case files become a valuable source of business intelligence, containing all the data you need to analyze trends and identify areas of risk. By generating powerful summary reports in our platform, you can see where the problem areas are in your organization and take steps to rectify them through training and prevention.

Our comprehensive investigation reports also provide a full account of every action in every investigation, dated and time-stamped, to help you comply with industry regulations for timely reporting and resolution of incidents and complaints.

Privacy & Security at the Core

SOC 2 Certified

Our application is SOC 2 certified, meeting the world's highest standards for information security.

Privacy & GDPR

Our application and database are stored in Europe to support your GDPR efforts.

Simple & Quick to Deploy

Globalized and User-Friendly Across Devices

Our team of veteran in-house compliance and audit leaders applied years of in-house learning to create the most user-friendly life sciences compliance software on the market today. Roll out a truly global and intuitive compliance platform to your employees, risk specialists, HCP/HCOs, and third parties with Case IQ.

- Multiple global languages
- Intuitive for all users
- Works across devices

Out of the Box & Configurable

Our pre-designed risk algorithms allow you to roll out a world-class compliance monitoring program in weeks, with minimal effort.

Supports SSO & Integrations

Enable single-sign-on and integrate with leading HR, master data, and financial systems to make rollout even smoother.

With Expert Partners by Your Side

Our team will support you the whole way with training materials, train-the-trainer sessions, and 24/7 technical support for the application after go-live.

The Problem We're Solving

We focused on the challenges every compliance team faces:

- Employees lacking sufficient tools to assess risk and own their own compliance
- Too few legal or compliance resources to support the business
- Risks managed in multiple systems that do not talk to each other
- Interfaces for the business that are not user-friendly or intuitive
- Disorganized and disparate data stores, making insights difficult to generate
- Not having real-time analytics and monitoring, leading to missed anomalies