



CASE STUDY

Leading Biopharmaceutical Company Reduces Risk with Analytics & Automation Software

Case IQ

THE NEED

An American biopharmaceutical company was looking for an off-the-shelf solution for meeting regulatory expectations around the use of data to better assess their compliance risks. They were seeking a compliance monitoring solution that enabled them to be hands-on with their data and escalate areas of risk at both a transactional level as well as at a holistic country and counterparty level. The end goal was to show the broader organization that the compliance team had embraced a new frontier in risk analysis and mitigation and advanced their program with technology in order to help the broader business.

INDUSTRY

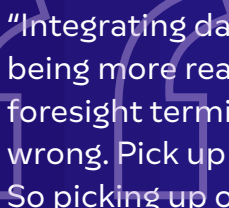
Biopharmaceuticals

USE CASES

- Risk Mitigation
- Risk Aggregation
- Data Visualization

BUSINESS ISSUE

An American biopharmaceutical company was seeking a software solution to reduce risk and empower the business with valuable data insights.



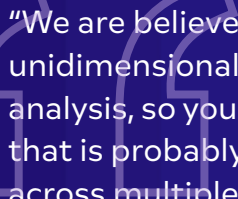
“Integrating data into your risk assessment gives you the ability to move from being more reactive in your program to more proactive. So the hindsight/ foresight terminology that the DOJ has referred to - don't wait for things to go wrong. Pick up the trends and behaviors from the data and start addressing it. So picking up on that in your risk assessment might make you think, maybe this is an area we want to do more training, maybe this is an area we want to do more monitoring and really trying to stop the problems from happening.”

Senior Compliance Director

THE SOLUTION

They implemented Case IQ's* Compliance Monitoring software to monitor their enterprise spend and better assess their enterprise risk using sophisticated fraud analytics to flag high-risk transactions for review and remediation. Using data from Oracle, Concur and Alanda, they are able to aggregate multiple risk factors and analyze their risks across vendor invoices, healthcare provider and organization spend and employee T&E reimbursements more efficiently and effectively. The software's flexibility and ease of configuration provide the company with the ability to assess risk across multiple dimensions.

*Lextegrity was acquired by Case IQ in 2025 and is now offered as an end-to-end suite of compliance tools.



“We are believers in aggregations because if you are looking at data unidimensionally, you are just going to get a lot of noise and outliers for one analysis, so you are going to have to look at those. Frankly, it’s where they overlap that is probably the highest risk - where somebody is showing up as an outlier across multiple dimensions - there is a lot of power to that.”

Senior Compliance Director

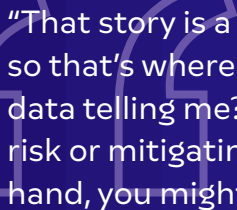
THE RESULTS

Case IQ’s automation and data analytics software has cost-effectively reduced the company’s risk exposure by automating the identification of high-risk spend transactions and risk context descriptions to expedite reviews and remediation. The software empowered the compliance team to embrace risk mitigation and advance the program using technology.

Risk aggregation has helped improve the compliance program as a whole. The aggregation of all the flags creates a feed into the risk assessment. Through this process, they have been able to risk score not only transactions but their business affiliates themselves. This helps compliance leaders to determine where to allocate resources. With continuous monitoring, they are able to use continuous information to update their risk assessment in real-time.

The Compliance Monitoring solution has also served as a key business enabler for the company. With Case IQ’s Risk Insights feature, the compliance team provides eye-catching visuals and dashboards for the business, laying data out in an interactive way so stakeholders can click through to the underlying data and understand what is going on at the transaction level. This gives the compliance team the ability to demonstrate to the business that compliance has visibility into, and can support, every aspect of the company. This is especially helpful because the business is used to seeing a more robust level of visualization on the sales and commercial side, and compliance has traditionally been behind in that area. So being able to give business leadership this level of data visualization quality is impactful when trying to engage stakeholders in understanding the company’s risks and the overall compliance program health.

The data insights also help the compliance team to extract and convey the story of what is going on in the business.



“That story is a data story, and the data doesn’t know what you are looking for - so that’s where as compliance professionals, we go in there and say, what is this data telling me? And if it is telling a story that is useful in respect of uncovering a risk or mitigating risk, how do I go and tell that story to the business? On the one hand, you might look at something and there is no risk; that in itself is a story, right? You don’t want to bother senior leadership with a table or a spreadsheet, you want to present it to them in a way that makes sense and allows you to tell them the story.”

Senior Compliance Director

By having a real-time temperature check on compliance risk in the business, the Compliance team can also be more comfortable with giving business leaders more autonomy and ownership over compliance. When a new project or endeavor is vetted by the Compliance team, it can create a risk mitigation plan with the business which includes ongoing realtime monitoring using data analytics. This allows Compliance to more comfortably sign off on such initiatives even if some execution risk remains - knowing that monitoring will provide a backstop to detect such execution risks if they become real.

ABOUT CASE IQ

Case IQ offers an end-to-end compliance and case management solution that consolidates compliance monitoring, whistleblower solutions, third-party risk oversight, investigative case management and compliance approval and disclosures workflows. Lextegrity was acquired by Case IQ in 2025 and is now offered as an end-to-end suite of compliance tools.

Case IQ

Learn how Case IQ can help you achieve end-to-end compliance with confidence.

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