Compliance & Ethics in Credit Unions

Credit Unions need to comply with many different legislations and regulations. If employees or directors violate any law, or regulation, the organization could face serious legal challenges.

The financial industry can face scrutiny when complying with changing industry regulations, while at the same time, trying to maintain responsible corporate citizenship while doing business. Ethics and compliance remain a constant focus in daily business operations. Good ethics and behaviour fosters good business.

When things go wrong, the risk is loss of profit, and reputation. Not to mention legal issues and/or loss of overall confidence in the organization. The longer misconduct is allowed to happen without reporting it, the more harm is placed on the organization and its shareholders.

Our anonymous Ethics Reporting services allow employees and stakeholders to speak up on workplace and business misconduct while maintaining anonymity for the whistleblower. Intuitive case management offers a robust reporting process leading to better and more effective investigations of reported misconduct.

Stop Unethical Misconduct

Complete Anonymity
Whistleblowers can decide how anonymous they want to be. Our system includes statements ensuring the whistleblower's anonymity in the event they choose not to share any contact information.

Instant Report Notification
Dedicated Reviewers will be notified instantaneously via an email that does not contain any sensitive information. A link from the email directs the user to the system where they use their own unique username and passwords to login.

User Authentication
Each time a user logs into the *IntegrityCounts* system, the system securely authenticates the user based on information provided compared against 'information we hold'.

Processing Emergency Tips
When a complaint has been filed that falls into the category of urgent or emergency, designated Reviewers will receive an instant SMS text and/or email notification alerting them to the emergency complaint.

Data is Encrypted
All data is encrypted using 256-bit AES algorithms while in transit and rest, and in archived instances. Data can only be accessed through the client-facing web application via controlled user access and pass.

System Integrity and Security
MS Azure data centres use maximum security protocols for physical safeguarding of their data centre and use a variety of tests to electronically test the integrity of data and systems. We are ISO 27001 certified.

Our Services Include

Ethics Hotline Services
We offer a robust Hotline service to provide your organization with a trustworthy ethics reporting process, ensuring employees and the public feel safe and secure when reporting suspected misconduct.

Case Management
Our *IntegrityCounts*™ Case Management application is a modern, highly intuitive system providing your organization with simple, customizable web-based confidential ethics reporting system that increases employee engagement to nurture a culture of ethics and integrity.

Speedy Implementation and Quality Service
Our high quality and attentive implementation process helps to get your organization up and running quickly with ongoing support available when you need it.

Customization
Whether large or small, we offer practical customization options to meet the unique needs of your organization.

Proxy Reporting
For employees who report wrongdoing in-person to a manager, the manager has the ability to file that report on behalf of the employee in a secure system.

Data Privacy & Security
WhistleBlower Security's data servers are located in Canada, providing clients with strong data privacy and security capabilities, meeting worldwide privacy standards. We are ISO 27001 Certified.

FOR MORE INFORMATION

Contact WhistleBlower Security at 1-888-921-6875, email us at info@whistleblowersecurity.com, or visit our website at www.whistleblowersecurity.com

bsi

ISO/IEC
27001
Information Security
Management
CERTIFIED